# On the Classification of Binary Self-Dual Codes

Stefka Bouyuklieva,
Faculty of Mathematics and Informatics,
Veliko Tarnovo University, Bulgaria,
Iliya Bouyukliev,
Institute of Mathematics and Informatics,
Bulgarian Academy of Sciences, Veliko Tarnovo, Bulgaria

We dedicate this research to our teacher Stefan Dodunekov on the occasion of his 65th birthday.

#### Abstract

An efficient algorithm for classification of binary self-dual codes is presented. As an application, a complete classification of the self-dual codes of length 38 is given.

**Index Terms:** Self-dual codes, Classification, Isomorph-free generation

### 1 Introduction

The self-dual codes form one of the important classes of linear codes because of their rich algebraic structure and their close connections with other combinatorial configurations like block designs, lattices, graphs, etc.

The classification of self-dual codes began in the seventies in the work of Vera Pless [20], where she classified the binary self-dual codes of length  $n \leq 20$ . The method used in the beginning remained essentially the same throughout the succeeding classifications. This is a recursive classification which proceeds from smaller to larger length and codes are classified up to equivalence. The process begins with the formula for the number of all self-dual codes of length n called a mass formula. The number of the self-dual binary codes of even length n is  $N(n) = \prod_{i=1}^{n/2-1} (2^i + 1)$ .

Throughout this paper all codes are assumed to be binary. Two binary codes are called *equivalent* if one can be obtained from the other by a permutation of coordinates. The permutation  $\sigma \in S_n$  is an *automorphism* of C, if  $C = \sigma(C)$  and the set of all automorphisms of C forms a group called the *automorphism group* of C, which is denoted by  $\operatorname{Aut}(C)$  in this paper. If C has length n, then the number of codes equivalent to C is  $n!/|\operatorname{Aut}(C)|$ . To classify

self-dual codes of length n, it is necessary to find inequivalent self-dual codes  $C_1, \ldots, C_r$  so that the following mass formula holds:

$$\sum_{i=1}^{r} \frac{n!}{|\operatorname{Aut}(C_i)|} = N(n). \tag{1}$$

In the survey [12] Huffman summarized the classification of all binary self-dual codes of length  $n \leq 36$ . As the complete classifications for lengths 34 and 36 was not done at that time, we present here a new version of Table 1 from [12], but instead of the question marks we put the correct numbers. Moreover, we extend the table with two more lengths - 38 and 40. The number of all inequivalent singly-even (Type I) and doubly-even (Type II) codes is denoted by  $\sharp_I$  and  $\sharp_{II}$ , respectively. In the table  $d_{max,I}$  ( $d_{max,II}$ ) is the biggest possible minimum distance for which Type I (Type II) codes with the given length exist, and  $\sharp_{max,I}$  ( $\sharp_{max,II}$ ) is their number.

The classification of the self-dual codes of length 34 was given in [3]. Using the self-dual [34, 17, 6] codes, Melchor and Gaborit classified the optimal self-dual codes of length 36, namely the [36, 18, 8] codes [19]. Recently, Harada and Munemasa in [11] completed the classification of the self-dual codes of length 36 and created a database of self-dual codes [10]. The doubly-even self-dual codes of length 40 were also classified by Betsumiya, Harada and Munemasa [2]. Moreover, using these codes, they classified the optimal self-dual [38, 19, 8] codes. A classification of extremal self-dual codes of length 38 was very recently obtained also in [1] by somewhat different techniques.

Actually, the construction itself is easy, the equivalence test is the difficult part of the classification. Because of that, for the larger length the recursive constructions are used preferably heuristic, for building examples for codes with some properties. There are also many partial classifications, namely classifications of self-dual codes with special properties, for example self-dual codes invariant under a given permutation, or self-dual codes connected with combinatorial designs with given parameters. These classifications are not recursive but they use codes with smaller lengths that is why the full classification is very important in these cases, too.

In this paper, we present an algorithm for generating binary self-dual codes which gives as output exactly one representative of every equivalence class. To develop this algorithm, we use an approach introduced by Brendan McKay known as isomorph-free exhaustive generation [18]. The constructive part of the algorithm is not different from the other recursive constructions for self-dual codes, but to take only one representative of any equivalence class, we use a completely different manner. This approach changes extremely the speed of generation of the inequivalent codes of a given length. Its special feature is that practically there is not equivalence test for the objects.

As a result, the classification of all binary self-dual codes of length 38 is presented. The number of these codes is given in the following theorem and also listed in Table 1.

**Theorem 1** There are 38 682 183 inequivalent self-dual codes of length 38.

In Section 2 we present the theoretical foundations of our construction method. We describe a recursive construction of binary self-dual codes. The aim is to obtain all inequivalent self-dual codes of dimension k on the base of the inequivalent self-dual codes of dimension k-1.

In Section 3 we describe the used algorithm. Codes which are equivalent belong to the same equivalence class. Every code can serve as a representative for its equivalence class. We use the concept for a canonical representative, selected on the base of some specific conditions. This canonical representative is intended to make easily a distinction between the equivalence classes.

In Section 4 we give the results with some more information about the obtained codes.

 $\sharp_I$  $d_{max,I}$ Reference n $\sharp_{II}$  $\sharp_{max,l}$  $d_{max,II}$  $\sharp_{max,II}$  $^{2}$ [20][20][20][20] [20][20][20][20][20] [20][22][22] [7, 8, 21][7, 8, 21][7, 8, 21][4, 7, 9]

?

[3]

[2]

[11, 19]

this paper, [1, 2]

Table 1: Binary self-dual codes of length  $n \le 40$  [12]

### 2 Recursive Constructions of Self-Dual Codes

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In this section we describe a recursive construction of binary self-dual codes. The aim is to obtain all inequivalent self-dual codes of length n = 2k and dimension k on the base of the

inequivalent self-dual codes of dimension k-1. Theorem 3 gives us the possibility to develop such a recursive algorithm. Actually, this theorem presents a well known property of the self-dual codes but usually the authors state it in the case  $d \ge 4$  (see for example [11]). Here we give a variant for all d but for that we need the following lemma.

**Lemma 2** If C is a binary self-dual code then C does not have more than two equal coordinates. In other words, if  $x_{i_1} = x_{i_2} = \cdots = x_{i_s}$  for any codeword  $x = (x_1, x_2, \dots, x_n) \in C$  then  $s \leq 2$ .

Proof. If n=2 then  $C=i_2=\{00,11\}$  and C has only two coordinates. Let  $n\geq 4$ . Since the dimension of C is  $n/2\geq 2$ , not all coordinates are equal and s< n. Suppose that  $s\geq 3$ , so  $x_{i_1}=x_{i_2}=x_{i_3}$  for all codewords  $x\in C$ . In such a case the vector  $y=(y_1,\ldots,y_n)\in \mathbb{F}_2^n$  of weight 2 with  $y_{i_1}=y_{i_2}=1$  will belong to  $C^\perp=C$ . In this way we obtain a codeword for which  $y_{i_1}=y_{i_2}=1$  but  $y_{i_3}=0$  - a contradiction. Hence  $s\leq 2$ .

Any self-dual [n, n/2, 2] code for n > 2 is decomposable as  $i_2 \oplus C_{n-2}$  where  $C_{n-2}$  is a self-dual code of length n-2. Furthermore, the number of the self-dual [n, n/2, 2] codes is equal to the number of all self-dual [n-2, n/2-1] codes (n > 2). But even if a self-dual code of length n > 2 has minimum distance d = 2, it has two coordinates which are not equal.

**Theorem 3** Let C be a binary self-dual [n = 2k > 2, k, d] code and  $C_0 = \{(x_1, \ldots, x_n) \in C, x_{n-1} = x_n\}$ . If the last two coordinates of C are not equal and  $C_1$  is the punctured code of  $C_0$  on the coordinate set  $\{n-1, n\}$  then  $C_1$  is a self-dual  $[n-2, k-1, d_1 \ge d-2]$  code.

*Proof.* Let n > 2 and the last two coordinates of the code C are not equal. This means that there is a codeword  $y \in C$  such that  $y_{n-1} \neq y_n$ . Therefore  $C_0$  is a subcode of C with dimension k-1, and  $(00...011) \notin C$ . The punctured code of  $C_0$  on the coordinate set  $\{n-1,n\}$  is

$$C_1 = \{(x_1, x_2, \dots, x_{n-2}) \mid x = (x_1, x_2, \dots, x_{n-2}, x_{n-1}, x_n) \in C_0\}.$$

Since  $(00...011) \notin C$ ,  $\dim C_1 = \dim C_0 = k-1$ . Moreover, if  $x = (x_1, x_2, ..., x_{n-2}, a, a)$ ,  $y = (y_1, y_2, ..., y_{n-2}, b, b) \in C_0$  then  $x_1y_1 + x_2y_2 + \cdots + x_{n-2}y_{n-2} + ab + ab = 0$ . Hence  $x_1y_1 + x_2y_2 + \cdots + x_{n-2}y_{n-2} = 0$  for any two codewords  $(x_1, ..., x_{n-2}), (y_1, ..., y_{n-2}) \in C_1$ . It follows that the code  $C_1$  is self-orthogonal, and since its dimension is a half of its length, it is self-dual.

For our construction, we use the code  $C_1$  of dimension k-1 to obtain a self-dual code of dimension k and length 2k. We describe this in the following corollary. Let  $C_1$  be a binary self-dual [2k-2,k-1] code with a generator matrix  $G_1$ . Let us extend  $G_1$  with two equal columns  $(a_1,a_2,\ldots,a_{k-1})^T$  and consider the code  $C_0$  generated by the matrix

$$G_0 = \left(\begin{array}{c|c} a_1 & a_1 \\ \vdots & \vdots \\ a_{k-1} & a_{k-1} \end{array}\right).$$

We can choose the vector  $(a_1, a_2, \ldots, a_{k-1})$  such that  $(11 \ldots 11) \in C_0$ . Obviously,  $C_0$  is a self-orthogonal [n = 2k, k - 1] code and its dual code is  $C_0^{\perp} = \langle C_0, (00 \ldots 011), x \rangle$  where  $x \in C_0^{\perp} \setminus \langle C_0, (00 \ldots 011) \rangle$  and  $\operatorname{wt}(x)$  is even. Consider the last two coordinates of the vector x. If  $x_{n-1} = x_n$  then  $(x_1, x_2, \ldots, x_{n-2}) \in C_1^{\perp} = C_1$  and therefore  $x \in \langle C_0, (00 \ldots 011) \rangle$ . Hence  $x_{n-1} \neq x_n$ . The next corollary follows immediately.

Corollary 4 The code  $C = \langle C_0, x \rangle = C_0 \cup (x + C_0)$  is a binary self-dual [2k, k] code.

We will call the code C a child of  $C_1$ , and  $C_1$  - a parent of C. Actually, we have two possible self-dual codes obtained in this way from  $C_1$ , namely  $\langle C_0, x \rangle$  and  $\langle C_0, (00 \dots 011) + x \rangle$ , but both codes are equivalent.

**Remark 1** To describe the search tree for our algorithm, we use the terms parent and child as it is usual in the literature on algorithms [15, 18]. These terms are used in a different way in some papers and chapters devoted to self-dual codes [13].

In this way all self-dual codes of length n=2k can be constructed on the base only on the inequivalent self-dual codes of length n-2. Indeed, if we take two equivalent self-dual codes  $C_1 \cong C_1'$  and use the same vector  $(a_1, a_2, \ldots, a_{k-1})$ , we can obtain equivalent self-dual codes of length 2k via the described construction. Let  $G_1$  be a generator matrix of  $C_1$  and P be a permutation matrix such that  $G_1P$  generates the code  $C_1'$ . Then the matrix

$$\left(\begin{array}{c|c} xP & x_{n-1} & x_n \\ G_1P & a & a \end{array}\right)$$

generates a self-dual code equivalent to C, namely the code C'. Furthermore, if  $\pi \in S_{n-2}$  is the permutation corresponding to the matrix P then  $\widehat{\pi} \in S_n$  sends C to C' where  $\widehat{\pi}(i) = \pi(i)$  for  $1 \le i \le n-2$ , and  $\widehat{\pi}(i) = i$  for  $i \in \{n-1, n\}$ .

Let see now what happens if we take different vectors a and b of length k-1 and use them in the described construction for the same self-dual [2k-2,k-1] code  $C_1$  with a generator matrix  $G_1$ . Consider the elements of the automorphism group  $\operatorname{Aut}(C_1)$  as permutation matrices of order n-2. To any permutation matrix  $P \in \operatorname{Aut}(C_1)$  we can correspond an invertible matrix  $A_P \in \operatorname{GL}(k-1,2)$  such that  $G'_1 = G_1P = A_PG_1$ , since  $G'_1$  is another generator matrix of  $C_1$ . In this way we obtain a homomorphism  $f: \operatorname{Aut}(C_1) \longrightarrow \operatorname{GL}(k-1,2)$ . Consider the action of  $\operatorname{Im}(f)$  on the set  $\mathbb{F}_2^{k-1}$  defined by  $A(x) = Ax^T$  for every  $x \in \mathbb{F}_2^{k-1}$ .

**Theorem 5** [11] The matrices  $(G_1 \ a^T \ a^T)$  and  $(G_1 \ b^T \ b^T)$  generate equivalent codes if and only if the vectors a and b belong to the same orbit under the action of Im(f) on  $\mathbb{F}_2^{k-1}$ .

*Proof.* Let the matrices  $(G_1 \ a^T \ a^T)$  and  $(G_1 \ b^T \ b^T)$  generate the codes  $C_0$  and  $C'_0$ , respectively, and  $a^T = A_P b^T$ , where  $P \in \text{Aut}(C_1)$ . Then

$$(G_1 \ a^T \ a^T) \left( \begin{array}{cc} P & 0 \\ 0 & I_2 \end{array} \right) = (G_1 P \ a^T \ a^T) = (A_P G_1 \ A_P b^T \ A_P b^T) = A_P (G_1 \ b^T \ b^T).$$

Since  $A_P(G_1 \ b^T \ b^T)$  is another generator matrix of the code  $C_0'$ , both codes are equivalent.

Conversely, let  $C_0 \cong C_0'$ . It turns out that there is a matrix  $B \in GL(k,2)$  and an  $n \times n$  permutation matrix P such that  $(G_1 \ a^T \ a^T) = B(G_1 \ b^T \ b^T)P = BA_P(G_1 \ b^T \ b^T)$ . Hence  $BA_pG_1 = G_1$  and therefore  $BA_P$  defines an automorphism of the code  $C_1 = \langle G_1 \rangle$ . Since  $a^T = BA_Pb^T$ , the vectors a and b belong to the same orbit under the action of Im(f) on  $\mathbb{F}_2^{k-1}$ .

Let now  $G = \begin{pmatrix} x & 1 & 0 \\ G_1 & a^T & a^T \end{pmatrix}$  be a generator matrix of the self-dual [n, n/2, d] code C. If  $P \in \operatorname{Aut}(C_1)$  and y = xP then

$$G\begin{pmatrix} P & 0 \\ 0 & I_2 \end{pmatrix} = \begin{pmatrix} x & 1 & 0 \\ G_1 & a^T & a^T \end{pmatrix} \begin{pmatrix} P & 0 \\ 0 & I_2 \end{pmatrix} = \begin{pmatrix} xP & 1 & 0 \\ G_1P & a^T & a^T \end{pmatrix}$$
$$= \begin{pmatrix} y & 1 & 0 \\ A_PG_1 & A_Pb^T & A_Pb^T \end{pmatrix} = \begin{pmatrix} 1 & 0 \dots 0 \\ 0^T & A_P \end{pmatrix} \begin{pmatrix} y & 1 & 0 \\ G_1 & b^T & b^T \end{pmatrix}$$

Hence the code C is equivalent to the code generated by the matrix  $G' = \begin{pmatrix} y & 1 & 0 \\ G_1 & b^T & b^T \end{pmatrix}$ .

The following theorem reduces the number of the considered cases. It is a particular case of Theorem 1 from [16].

**Theorem 6** If C is a binary self-dual [n = 2k > 2, k, d] code and the last two coordinates of C are not equal then C is equivalent to a code with a generator matrix in the form

$$G = \begin{pmatrix} x_1 \dots x_{k-1} & 00 \dots 0 & 1 & 0 \\ & & x_1 & x_1 \\ I_{k-1} & A & \vdots & \vdots \\ & & x_{k-1} & x_{k-1} \end{pmatrix}$$
 (2)

and the matrix  $(I_{k-1}|A)$  generates a self-dual [n-2, k-1] code.

*Proof.* Take a generator matrix in systematic form for the code  $C_1$  obtained from C by the construction in Theorem 3. Then

$$\begin{pmatrix}
x_1 & x_1 \\
I_{k-1} & A & \vdots & \vdots \\
x_{k-1} & x_{k-1}
\end{pmatrix}$$

is a generator matrix of  $C_0$  in systematic form. Since  $C_0$  is a self-orthogonal [2k, k-1] code,  $C_0^{\perp} = \langle C_0, (00\dots 011), y \rangle$  where  $y \in C \setminus C_0$ . Hence  $y_{n-1} \neq y_n$  and suppose  $y_{n-1} = 1, y_n = 0$ . Consider the vector  $x = (x_1, x_2, \dots, x_{k-1}, 00\dots 010)$ . Obviously,  $x \perp C_0$  and hence  $x \in C_0^{\perp}$ . But  $x \notin C_0$ ,  $x \notin (00\dots 011) + C_0$ ,  $x \notin (00\dots 011) + y + C_0$  and therefore

 $x \in y + C_0 \subset C$ . It turns out that  $x \in C$  and we can take a generator matrix for C in the needed form.

If we start with a self-dual [2k-2, k-1] code  $C_1$  with a generator matrix in systematic form, for the construction in the last theorem we have to use a vector  $(x_1, x_2, \ldots, x_{k-1})$  of odd weight. Then we are sure that the all-ones vector (11...11) belongs to the subcode  $C_0$  and the code C generated by the matrix (2) is a self-dual [2k, k] code.

The last two theorems are very important for our search. We use them to write a recursive algorithm which gives us all self-dual [n, n/2] codes starting from the code  $i_2$ .

## 3 The Algorithm

In this section we present an algorithm for generating self-dual codes of a given length n. We discuss the background and give preliminary definitions and notations.

Let  $\Omega_k$  be the set of all binary self-dual codes of dimension k (and length 2k). We consider the action of the symmetric group  $S_{2k}$  on the set  $\Omega_k$ ,  $k=1,2,\ldots$ . This action induces an equivalence relation in  $\Omega_k$  as two codes  $C_1, C_2 \in \Omega_k$  are equivalent  $(C_1 \cong C_2)$  if they belong to the same orbit. Hence the equivalence classes for the defined relation are the orbits with respect to the action of the symmetric group. According to Theorem 3, if we take two nonproportional coordinates in any code belonging to  $\Omega_k$ ,  $k \geq 2$ , we can obtain a code from  $\Omega_{k-1}$ . Conversely, if we take all codes from  $\Omega_{k-1}$  and extend them using Corollary 4 in all possible ways, we will obtain all codes from  $\Omega_k$ . The construction of the self-dual codes of dimension k using the codes from  $\Omega_{k-1}$  seems to be trivial, but actually this is a difficult problem and the question is how to find only the inequivalent codes. To do this, we develop a McKey type algorithm for isomorph-free generation [15, 18].

The algorithm is an exhaustive search over the set of codes  $\Omega = \Omega_1 \cup \Omega_2 \cup \cdots \cup \Omega_k$  and this set is our search space. The generation process is described by a rooted tree (or forest). The nodes in the tree are objects from the search space  $\Omega$ . From a self-dual code A of length 2k-2 corresponding to the node  $\overline{A}$ , we obtain self-dual codes of length 2k which are children of the code A. To construct the children, we use a generator matrix  $G_A$  of A in systematic form and the binary vectors from  $\mathbb{F}_2^{k-1}$  of odd weight. We denote the set of inequivalent children by Child(A). The elements of Child(A) correspond to the nodes of the next level which are connected to  $\overline{A}$  by edges. To find only the inequivalent children, we use Theorem 5. Practically, the rule  $A \to Child(A)$  which juxtapose children to a code defines our search tree. The execution of the algorithm can be considered as walking the search tree and visiting all nodes through the edges. This can be done by a depth first search. We present a pseudocode of the algorithm in Table 2.

The following definitions and theorems help us to explain the algorithm and to prove its correctness.

**Definition 1** A canonical representative map for the action of the group  $S_{2k}$  on the set  $\Omega_k$ 

is a function  $\rho: \Omega_k \to \Omega_k$  that satisfies the following two properties:

- 1. for all  $X \in \Omega_k$  it holds that  $\rho(X) \cong X$ ,
- 2. for all  $X, Y \in \Omega_k$  it holds that  $X \cong Y$  implies  $\rho(X) = \rho(Y)$ .

For a code  $C \in \Omega_k$ , the code  $\rho(C)$  is the canonical form of C with respect to  $\rho$ . Analogously, C is in canonical form if  $\rho(C) = C$ . The code  $\rho(C)$  is the canonical representative of its equivalence class with respect to  $\rho$ .

We can take for a canonical representative of one equivalence class a code which is more convenient for our purposes. Suppose that A is the canonical representative of this class with respect to a canonical representative map  $\rho$ . We can take  $B \cong A$  for a canonical representative for this class if we change the canonical representative map in the following way:  $\rho'(X) = B$  if  $X \cong B$ ,  $\rho'(X) = \rho(X)$  if  $X \ncong B$ . According to Lemma 2 a self-dual code does not have more than two equal coordinates, hence if  $k \geq 2$  we can take for a canonical representative of any equivalence class a code for which the last two coordinates are not equal.

**Example 1** If we order the codewords in any code lexicographically and then compare the codes according to a lexicographical ordering of the columns, we will have one biggest code in any equivalent class. We can take this code as a canonical representative of its class.

**Definition 2** Let C be a self-dual code of length n and  $\rho(C) \cong C$  be its canonical form. A permutation  $\phi_C \in S_n$  is called a canonical permutation of the code C, if  $\phi_C(C) = \rho(C)$ .

For a fixed canonical representative map  $\rho$ , the canonical permutation of C depends on the automorphism group of the code and the permutations from the coset  $\phi_C \text{Aut}(C)$  can also be canonical permutations since  $\phi_C \sigma(C) = \phi_C(\sigma(C)) = \phi_C(C) = \rho(C)$  for any  $\sigma \in \text{Aut}(C)$ .

We obtain the automorphism group and a canonical form of a given code A using a modification of the algorithm presented in the paper [5]. This algorithm gives the order of the group, a set of generating elements, and a canonical permutation.

The parent test can be defined in the following way. Suppose that A is a self-dual code of dimension k-1 and  $B \in Child(A)$ . This means that B is obtained from A by the construction of Theorem 6. The parent test for B depends on the automorphism group of the code B. Let  $c_1$  and  $c_2$  be the coordinate positions of the code B for which  $\phi_B(c_1) = 2k$ ,  $\phi_B(c_2) = 2k - 1$  where  $\phi_B$  is the canonical permutation of B. The corresponding two columns of B are not equal, because the last two coordinates of the canonical representative are not equal. We call the coordinates  $c_1$  and  $c_2$  special for the code B with respect to the canonical permutation  $\phi_B$ . If there is an automorphism  $\sigma$  of B such that  $\{\sigma(c_1), \sigma(c_2)\} = \{2k - 1, 2k\}$  then the code B passes the parent test. In such case we can change the canonical permutation, taking  $\phi_B \sigma^{-1}$  instead of  $\phi_B$ . Then the last two coordinates of B are special with respect to the new canonical permutation. So a code passes the parent test, if there is a canonical permutation  $\phi$  for this code such that the last two coordinates are special with respect to  $\phi$ .

Obviously, the canonical representative B of one equivalent class passes the parent test. If  $B_1 \cong B$  also passes the parent test, then there is a permutation  $\phi : B \to B_1$  such that  $1 \le \phi(i) \le n-2$  for  $1 \le i \le n-2$  and  $\{\phi(n-1), \phi(n)\} = \{n-1, n\}$ , where n is the length of the codes. This means that the parents of these two codes are equivalent, too. So we have the following lemma.

**Lemma 7** If  $B_1$  and  $B_2$  are two equivalent self-dual [2k, k] codes which pass the parent test, their parents are also equivalent.

Table 2: The main algorithm

```
Procedure Augmentation(A: binary self-dual code);
begin
  If the dimension of A is equal to k then
    begin
       U_k := U_k \cup \{A\};
       PRINT (A, \sharp Aut(A));
  If the dimension of A is less than k then
    begin
       find the set Child(A) of all inequivalent children of A;
                (using already known Aut(A);)
       For all codes B from the set Child(A) do the following:
         if B passes the parent test then Augmentation(B);
    end;
end;
Procedure Main;
         U_r – nonempty set of binary self-dual [2r, r] codes;
         k – dimension of the output codes (k > r);
Output: U_k – set of [2k, k] binary self-dual codes;
  U_k := \emptyset (the empty set);
  for all codes A from U_r do the following:
  begin
    find the automorphism group of A;
    Augmentation(A);
  end;
end;
```

**Lemma 8** Let  $A_1$  and  $A_2$  be two equivalent self-dual codes of dimension r. Then for any child  $B_1$  of  $A_1$  which passes the parent test, there is a child  $B_2$  of  $A_2$ , equivalent to  $B_1$ , such that  $B_2$  also passes the parent test.

Proof. Let  $G_1$  be a generator matrix of  $A_1$  in systematic form and  $B_1$  be the code obtained from  $A_1$  and the vector  $a=(a_1,\ldots,a_r)$  by the construction described in Corollary 4 and Theorem 6. Let  $B_2$  be the code generated by the matrix  $\pi(G_1)$  and the same vector a, where  $\pi \in S_{2r}$  and  $\pi(A_1) = A_2$ . Obviously,  $\pi(G_1)$  generates the code  $\pi(A_1) = A_2$ . Moreover, according to Theorem 5,  $B_2$  is equivalent to all codes obtained by  $A_2$  and the vectors from the orbit with representative a under the action of  $\operatorname{Aut}(A_2)$  on  $\mathbb{F}_2^r$ . Since the codes  $B_1$  and  $B_2$  are equivalent, they have the same canonical representative  $B = \rho(B_1) = \rho(B_2)$ . The code  $B_1$  passes the parent test and therefore there is a canonical permutation  $\phi_1 : B_1 \to B$  such that the last two coordinates of  $B_1$  are special with respect to  $\phi_1$ . We can take for a canonical permutation of  $B_2$  the permutation  $\phi_2 = \phi_1 \widehat{\pi}^{-1}$ , since  $\phi_1 \widehat{\pi}^{-1}(B_2) = \phi_1(B_1) = \rho(B_1) = \rho(B_2)$ , where  $\widehat{\pi} \in S_{2r+2}$ ,  $\widehat{\pi}(i) = \pi(i)$  for  $i \in \{1, 2, \ldots, 2r\}$ ,  $\widehat{\pi}(2r+1) = 2r+1$ ,  $\widehat{\pi}(2r+2) = 2r+2$ . Then

$$\{\phi_2(2r+1),\phi_2(2r+2)\}=\{\phi_1(2r+1),\phi_1(2r+2)\}=\{2r+1,2r+2\},$$

hence the last two coordinates of  $B_2$  are special with respect to the canonical permutation  $\phi_2$ . It turns out that the code  $B_2$  also passes the parent test.

**Theorem 9** If the set  $U_s$  consists of all inequivalent binary self-dual [2s, s] codes, then the set  $U_{s+1}$  obtained by the algorithm presented in Table 2 consists of all inequivalent self-dual [2s+2, s+1] codes,  $s \ge 1$ .

*Proof.* We must show that the set  $U_{s+1}$  filled out in Procedure Augmentation, consists only of inequivalent codes, and any binary self-dual [2s+2, s+1] code is equivalent to a code in the set  $U_{s+1}$ .

Suppose that the codes  $B_1, B_2 \in U_{s+1}$  are equivalent. Since these two codes have passed the parent test, their parents are also equivalent according to Lemma 7. These parents are self-dual codes from the set  $U_s$  which consists only in inequivalent codes. We have a contradiction here and therefore the codes  $B_1$  and  $B_2$  cannot be equivalent. It follows that  $U_{s+1}$  consists of inequivalent codes.

Take now a binary self-dual code C of dimension s+1 with a canonical representative B. Hence B is equivalent to C and B passes the parent test. Since  $U_s$  consists of all inequivalent self-dual codes of dimension s, the parent of B is equivalent to a code  $A \in U_s$ . According to Lemma 8, there is a child  $B_A$  of A, equivalent to B, such that  $B_A$  passes the parent test. Since the codes B and  $B_A$  are equivalent, so are the codes C and C and C in this way we find a code in C which is equivalent to C.

Applying the algorithm recursively, we have the following corollary.

Corollary 10 If the set  $U_r$  consists of all inequivalent binary self-dual [2r, r] codes, then the algorithm presented in Table 2 generates all inequivalent self-dual [2k, k] codes, r < k.

We can partition the set  $U_r$  of all inequivalent binary self-dual [2r, r] codes into disjoint subsets  $U_{r1}, U_{r2}, \ldots, U_{rs}$  and apply the algorithm to these subsets independently. Denote by  $U_{ki}$  the set of the inequivalent self-dual [2k, k] codes, obtained from  $U_{ri}$ ,  $i = 1, 2, \ldots, s$ , via the described algorithm. Following the algorithm and the theorems, we have

**Corollary 11** The union  $U_{k1} \cup U_{k2} \cup \cdots \cup U_{ks}$  consists of all inequivalent binary self-dual [2k, k] codes, and  $U_{ki} \cap U_{kj} = \emptyset$  for  $i \neq j$ .

This corollary shows that we can divide the computations into parts that need no mutual communication.

The most difficult part in the algorithm is the calculation of the automorphism group and the canonical permutation of a given code. That's why we try to avoid this part by using invariants. Actually, we can do the parent test without knowing the automorphism group of the code, using only appropriate invariants, which is much faster.

**Definition 3** Let  $N = \{1, 2, ..., n\}$  be the set of the coordinates of the code C. An invariant of the coordinates of C is a function  $f: N \to \mathbb{Z}$  such that if i and j are in the same orbit with respect to Aut(C) then f(i) = f(j).

The code C and the invariant f define a partition  $\pi = \{N_1, N_2, \dots, N_l\}$  of the coordinate set N, such that  $N_i \cap N_j = \emptyset$  for  $i \neq j$ ,  $N = N_1 \cup N_2 \cup \dots \cup N_l$ , and two coordinates i, j are in the same subset of  $N \iff f(i) = f(j)$ . So the subsets  $N_i$  are unions of orbits, therefore we call them pseudo-orbits. We can use the fact that if we take two coordinates from two different subsets, for example  $s \in N_i$  and  $t \in N_j$ ,  $N_i \cap N_j = \emptyset$ , they belong to different orbits under the action of  $\operatorname{Aut}(C)$  on the coordinate set N. Moreover, using an invariant f, we can define a new canonical representative and a new canonical permutation of C.

Firstly, we introduce an ordering of the pseudo-orbits of C. We say that  $N_i \prec N_j$  for  $i \neq j$ , if: (1)  $|N_i| < |N_j|$ , or (2)  $|N_i| = |N_j|$  and f(s) < f(t) for  $s \in N_i$ ,  $t \in N_j$ . Then we redefine the canonical representative of one equivalence class in the following way:

1. If the smallest pseudo-orbit consists of only one coordinate, we take for a representative a code in the equivalence class for which this coordinate is the last one, and the n-1-th coordinate is from the second smallest pseudo-orbit. The last two coordinates of the code C have been added according the construction method described in the previous section. If none of these two coordinates belongs to the smallest pseudo-orbit, C does not pass the parent test. If one of them belongs to this orbit, we check whether the other one belongs to the second smallest pseudo-orbit. If no, C does not pass the parent test, but if yes, we should find the canonical representative to be sure whether C passes the test.

- 2. If the smallest pseudo-orbit  $N_s$  contains three or more coordinates, we again take for a representative a code in the equivalence class for which the coordinates from the smallest pseudo-orbit are the last coordinates. According to Lemma 2 not all coordinates in  $N_s$  are equal, so we can take two different coordinates in the end.
- 3. The smallest pseudo-orbit  $N_s$  consists of exactly two coordinates. If these two coordinates are different, we can take them for the last two coordinates. But if they are equal, we are looking for the next pseudo-orbit. There is only one self-dual code such that its coordinates can be partitioned in subsets of two elements such that both coordinates in each subset are equal, and this is the code  $i_2^k$ , n=2k. But the automorphism group of this code is  $\mathbb{Z}_2^k \cdot S_k$  and it acts transitively on the coordinates.

The complexity of the algorithm mainly depends on two of its steps. The first one is "find the set Child(A) of all inequivalent children of A". For this step, we have as input a set L of generating elements of the automorphism group of the code A, and the set D of all binary odd-weight vectors with length dim A. The algorithm splits the set of these vectors into orbits under the action of the group Aut(A). Any orbit defines a child of A, as different orbits give inequivalent children. This step is computationally cheap. The complexity is linear with respect to the product  $|L| \cdot |D|$ ,  $|D| = 2^{\dim A - 1}$  (for details see [23]).

In the step "if B passes the parent test", using a given generator matrix of the code B we have to calculate invariants, and in some cases also canonical form and the automorphism group  $\operatorname{Aut}(B)$ . Finding a canonical form and the automorphism group is necessary when the used invariants are not enough to prove whether the code B pass or not the parent test. If the code B passes the parents test, the algorithm needs a set of generators of  $\operatorname{Aut}(B)$  for the next step (finding the children). For this step, we have to generate the set  $M_w$  of all codewords of weight  $\leq w$ . The complexity here is  $O(\sum_{i=1}^{w/2} \binom{\dim B}{i})$ . To determine the invariants, we use the set  $M_d$ , where d is the minimum weight of the code B. We use mostly the invariants  $f_1$  and  $f_2$  defined as follows:

$$f_1: \{1, 2, \dots, n\} \to \{0, 1\}, \quad f_2: \{1, 2, \dots, n\} \to \mathbb{Z},$$

where n is the length of B. Moreover, if  $s = \sum_{v \in M_d} v_i$  then  $f_1(i) = 1$  if and only if s is odd, and  $f_2(i) = s$ ,  $1 \le i \le n$ ,  $v = (v_1, v_2, \ldots, v_n) \in \mathbb{F}_2^n$ . To calculate the values of  $f_1$ , the algorithm needs only  $|M_d|$  operations in the case of bitwise presentation of the codewords. For  $f_2$  the algorithm uses  $O(n|M_d|)$  operations. We use also a vector valued invariant f such that  $f(i) = (f_1(i), f_2(i))$ . If the code is not rejected with the invariants, the algorithm generates the smallest set  $M_w$  of rank dim B. The corresponding to this set binary matrix is the input in the algorithm for a canonical form. For the complexity of this type of algorithms see [5, 17].

The described algorithm is implemented in the program Gen-self-dual-bin of the package Self-dual-bin written in Borland Delphi 6.0.

### 4 The Results

To find all inequivalent self-dual codes of length 38, we begin from the set  $U_{16}$  of all inequivalent self-dual codes of length 32 and dimension 16. We partition  $U_{16}$  into three subsets and run them on three cores using a PC Intel i5 4 core processor. The number of all codes considered in the program (these are the inequivalent children for each code in  $U_{16} \cup U_{17} \cup U_{18}$ ) is 2,338,260,952. For 151,016,675 of them, a canonical form is computed. The number of the obtained inequivalent codes in the set  $U_{19}$  is 38,682,183. The calculations took about four days.

Generator matrices of all inequivalent self-dual codes of length 38 are saved in three files in the form used in [6]. A compressed version of these files will be available on the web-page http://www.moi.math.bas.bg/~iliya/. A file with additional information which contains the number of codewords of weights 2, 4, 6, 8, and the order of the automorphism group for each code will be also available. This information allows to compute the expressions in the mass formulas.

The possible weight enumerators of the self-dual codes of length 38 are given by the following formula

$$W(y) = 1 + \alpha y^{2} + (13\alpha + \beta)y^{4} + (57 + 76\alpha + 7\beta - \gamma - 4\delta)y^{6} + (228 + 260\alpha + 17\beta - \gamma + 28\delta)y^{8}$$

$$+ (560\alpha + 7\beta + 6\gamma - 136\delta + 1520)y^{10} + (728\alpha - 43\beta + 6\gamma + 248\delta + 10032)y^{12}$$

$$+ (364\alpha - 77\beta - 15\gamma + 100\delta + 37620)y^{14} + (85614 - 572\alpha - 11\beta - 15\gamma - 764\delta)y^{16}$$

$$+ (127072 - 1430\alpha + 99\beta + 20\gamma + 528\delta)y^{18} + \dots + y^{38},$$

where  $\alpha, \beta, \gamma, \delta$  are integers. The numbers of inequivalent codes, the numbers of different weight enumerators and the numbers of different orders of the automorphism groups for each minimum weight d are given in Table 3.

d	2	4	6	8
# codes	519492	27463982	10695965	2744
# weight enumerators	3504	7176	88	2
$\sharp$ orders of $Aut(C)$	799	764	75	18

Table 3: Numbers of inequivalent codes of length 38

The smallest order  $\sharp \operatorname{Aut}_s$  and the largest order  $\sharp \operatorname{Aut}_l$  among the automorphism groups are listed in Table 4 for each minimum weight d.

We give one more table with some additional results about the automorphisms of the codes. We list in Table 6 the number of self-dual [38, 19, d] codes C such that  $p^k$  divides the order of Aut(C) where p is a prime and k is a positive integer.

Table 4: Orders of the automorphism groups

d	2	4	6	8
$\sharp \mathrm{Aut}_s$	2	4	1	1
$\sharp \mathrm{Aut}_l$	$2^{19} \cdot 19!$	$2^{13} \cdot 3 \cdot 7 \cdot 16!$	1032192	504

For the verification of our results, we use the mass formula (1) and also the following corollary from one lemma of Thompson [24]:

**Theorem 12** [11] Let n and d be even positive integers and let U be a family of inequivalent self-dual codes of length n and minimum weight at most d. Then U is a complete set of representatives for equivalence classes of self-dual codes of length n and minimum weight at most d if and only if

$$\sum_{C \in U} \frac{n!}{|\operatorname{Aut}(C)|} |\{x \in C | \operatorname{wt}(x) = d\}| = \binom{n}{d} \prod_{i=1}^{n/2-2} (2^i + 1). \tag{3}$$

For the constructed codes we obtain the same values of the left and the right expressions in the formula (3) for n = 38, namely:

- (d=2) 19137697424578816915816164139573797711865999715625;
- (d=4) 2009458229580775776160697234655248759745929970140625;
- (d=6) 75153737786321014028410076576106303614497780883259375;
- (d=8) 1331294783643400819931835642205311664028246404217737500;
  - (all) 27222898185745116523209337325140537285726884375 (formula (1)).

**Remark 2** To calculate the sums in the mass-formulas (1) and (3), we use the package LONGNUM of S. Kapralov for calculations with large integers [14].

### 5 Conclusion

The generation of all inequivalent binary self-dual codes of length  $n \geq 38$ , using only standard computer algebra systems, seems to be infeasible. That is why we use special algorithmic techniques to surmount difficulties and to classify codes even with PC's. In this work we describe the classification of the self-dual codes of length 38.

Denote by  $SD_k$  the number of all inequivalent self-dual codes of dimension k. Obviously,

$$SD_k \ge \frac{\prod_{i=1}^{k-1} (2^i + 1)}{(2k)!}.$$

Consider the sequence  $a_k = SD_k(2k)! / \prod_{i=1}^{k-1} (2^i + 1)$ ,  $k = 1, 2, \ldots$  Looking at the already known classifications of binary self-dual codes, we can calculate the values of  $a_k$  for  $k \leq 19$ . We list the integer part of these values in Table 5. Moreover, if we count the number of the inequivalent self-dual codes with a trivial automorphism group, we see that there are no such codes for length  $n \leq 32$ , but for the larger lengths this number increases very fast. For example, more than a quarter of all codes of length 38, namely 10140257 inequivalent codes, have a trivial automorphism group. So we have the following conjecture.

Conjecture 1 The sequence  $\{a_k, k = 10, 11, 12, ...\}$  is decreasing.

Table 5: Values of  $|a_k|$  for  $k \le 19$ 

k	1	2	3	4	5	6	7	8	9	10
$a_k$	2	8	48	597	3162	18974	70836	230631	353061	464937
k	11	12	13	14	15	16	17	18	19	20
$a_k$	327440	194067	57659	13482	2004	273	34	7	2	?

Some other problems we are going to attack are:

• Classification of the binary self-dual codes of length 40. The doubly-even self-dual codes have been classified [2]. We have already started with the generation of the optimal [40, 20, 8] codes and the results will be ready soon. We have a lower bound on the number of codes by  $\frac{\prod_{i=1}^{19}(2^i+1)}{40!} > 4,585,657,509$ . According to Conjecture 1 and Table 5, we have

Conjecture 2 The number of the inequivalent binary self-dual codes of length 40 are at most  $2 \cdot \frac{\prod_{i=1}^{19} (2^i + 1)}{40!} < 9,171,315,020.$ 

• We are going to apply the described algorithm for quaternary self-dual codes.

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Table 6: Number of codes C for which  $p^k$  divides the order of their automorphism groups

$p^k \setminus d$	2	4	6	8	$p^k \setminus d$	2	4	6	8
2	519492	27463982	557127	453	$2^{30}$	87	2	-	-
$2^{2}$	478434	27463982	89141	111	$2^{31}$	47	1	-	-
$2^{3}$	467048	17031875	23498	34	$2^{32}$	16	-	-	-
$2^{4}$	397699	16153273	8201	1	$2^{33}$	7	-	-	-
$2^{5}$	375614	9310617	3788	-	$2^{34}$	3	-	-	-
$2^{6}$	303984	8307428	1733	-	$2^{35}$	1	-	-	-
$2^{7}$	274730	4686394	846	-					-
$2^{8}$	212982	3854920	414	-	3	132329	2743510	3916	85
$2^{9}$	183525	2208800	220	-	$3^{2}$	45728	424433	185	7
$2^{10}$	140158	1629411	134	-	$3^{3}$	17286	93437	26	1
$2^{11}$	112968	970908	79	-	$3^{4}$	6463	22451	3	-
$2^{12}$	85784	641657	48	-	$3^{5}$	1763	4315	-	-
$2^{13}$	65569	387900	26	-	$3^{6}$	256	366	-	-
$2^{14}$	48579	237143	14	-	$3^{7}$	51	52	-	-
$2^{15}$	35399	140837	8	-	$3^{8}$	8	5	-	-
$2^{16}$	25470	83500	5	-	5	4209	16112	9	-
$2^{17}$	17908	49360	-	-	$5^{2}$	449	624	-	-
$2^{18}$	12729	29175	-	-	$5^{3}$	52	40	-	-
$2^{19}$	8838	17009	-	-	7	4270	21981	14	5
$2^{20}$	6161	9646	-	-	$7^{2}$	467	771	-	-
$2^{21}$	4162	5612	-	-	$7^{3}$	83	83	-	-
$2^{22}$	2774	3228	-	-	$7^4$	16	13	-	-
$2^{23}$	1812	1754	-	-	$7^{5}$	1	2	-	-
$2^{24}$	1177	996	-	-	11	47	29	-	-
$2^{25}$	790	505	-	-	13	17	7	-	-
$2^{26}$	551	235	-	-	17	4	-	-	-
$2^{27}$	345	91	-	-	19	1	-	2	1
$2^{28}$	226	33	-	-	23	6	4	-	-
$2^{29}$	156	6	-	-	31	2	2	-	-

# An Algorithm for Classification of Binary Self-Dual Codes

Stefka Bouyuklieva,
Faculty of Mathematics and Informatics,
Veliko Tarnovo University, Bulgaria,
Iliya Bouyukliev,
Institute of Mathematics and Informatics,
Bulgarian Academy of Sciences, Veliko Tarnovo, Bulgaria

We dedicate this research to our teacher Stefan Dodunekov on the occasion of his 65th birthday.

#### Abstract

An efficient algorithm for classification of binary self-dual codes is presented. As an application, a complete classification of the self-dual codes of length 38 is given.

**Index Terms:** Self-dual codes, Classification, Isomorph-free generation

### 1 Introduction

The self-dual codes form one of the important classes of linear codes because of their rich algebraic structure and their close connections with other combinatorial configurations like block designs, lattices, graphs, etc.

The classification of self-dual codes began in the seventies in the work of Vera Pless [20], where she classified the binary self-dual codes of length  $n \leq 20$ . The method used in the beginning remained essentially the same throughout the succeeding classifications. This is a recursive classification which proceeds from smaller to larger length and codes are classified up to equivalence. The process begins with the formula for the number of all self-dual codes of length n called a mass formula. The number of the self-dual binary codes of even length n is  $N(n) = \prod_{i=1}^{n/2-1} (2^i + 1)$ .

Throughout this paper all codes are assumed to be binary. Two binary codes are called *equivalent* if one can be obtained from the other by a permutation of coordinates. The permutation  $\sigma \in S_n$  is an *automorphism* of the code C, if  $C = \sigma(C)$  and the set of all automorphisms of C forms a group called the *automorphism group* of C, which is denoted by  $\operatorname{Aut}(C)$  in this paper. If C has length n, then the number of codes equivalent to C

is  $n!/|\mathrm{Aut}(C)|$ . To classify self-dual codes of length n, it is necessary to find inequivalent self-dual codes  $C_1, \ldots, C_r$  so that the following mass formula holds:

$$\sum_{i=1}^{r} \frac{n!}{|\operatorname{Aut}(C_i)|} = N(n). \tag{1}$$

In the survey [12] Huffman summarized the classification of all binary self-dual codes of length  $n \leq 36$ . As the complete classifications for lengths 34 and 36 was not done at that time, we present here a new version of Table 1 from [12], but instead of the question marks we put the correct numbers. Moreover, we extend the table with two more lengths - 38 and 40. The number of all inequivalent singly-even (Type I) and doubly-even (Type II) codes is denoted by  $\sharp_I$  and  $\sharp_{II}$ , respectively. In the table  $d_{max,I}$  ( $d_{max,II}$ ) is the biggest possible minimum distance for which Type I (Type II) codes with the given length exist, and  $\sharp_{max,I}$  ( $\sharp_{max,II}$ ) is their number.

The classification of the self-dual codes of length 34 was given in [3]. Using the self-dual [34,17,6] codes, Melchor and Gaborit classified the optimal self-dual codes of length 36, namely the [36,18,8] codes [19]. Recently, Harada and Munemasa in [11] completed the classification of the self-dual codes of length 36 and created a database of self-dual codes [10]. The doubly-even self-dual codes of length 40 were also classified by Betsumiya, Harada and Munemasa [2]. Moreover, using these codes, they classified the optimal self-dual [38,19,8] codes. A classification of extremal self-dual codes of length 38 was very recently obtained also in [1] by somewhat different techniques.

Actually, the construction itself is easy, the equivalence test is the difficult part of the classification. Because of that, for larger lengths the recursive constructions are used preferably heuristic, for building examples for codes with some properties. There are also many partial classifications, namely classifications of self-dual codes with special properties, for example self-dual codes invariant under a given permutation, or self-dual codes connected with combinatorial designs with given parameters. These classifications are not recursive but they use codes with smaller lengths, that is why the full classification is very important in these cases, too.

There exist several methods to construct self-dual codes of length n+2 from self-dual codes of length n. In [1], the authors describe three such methods recalling them the recursive construction, the building-up construction and the Harada-Munemasa construction. The first one (recursive construction) gives all inequivalent [n+2, n/2+1, d+2] codes starting from the self-dual [n, n/2, d] codes [19]. The other two constructions give all self-dual codes of length n+2 from the self-dual codes of length n. Our construction is similar to the Harada-Munemasa construction but we offer a better way to deal with equivalence classes.

In this paper, we present an algorithm for generating binary self-dual codes which gives as output exactly one representative of every equivalence class. To develop this algorithm, we use an approach introduced by Brendan McKay known as *isomorph-free exhaustive generation* [18]. The constructive part of the algorithm is not different from the other recursive constructions for self-dual codes, but to take only one representative of any equivalence class,

we use a completely different manner. This approach changes extremely the speed of generation of the inequivalent codes of a given length. Its special feature is that practically there is not equivalence test for the objects.

As a result, the classification of all binary self-dual codes of length 38 is presented. The number of these codes is given in the following theorem and also listed in Table 1.

**Theorem 1** There are 38 682 183 inequivalent self-dual codes of length 38.

In Section 2 we present the theoretical foundations of the used construction method. The aim is to obtain all inequivalent self-dual codes of dimension k (length 2k) on the base of the inequivalent self-dual codes of dimension k-1 (length 2k-2).

In Section 3 we describe the used algorithm. Codes which are equivalent belong to the same equivalence class. Every code can serve as a representative for its equivalence class. We use the concept for a canonical representative, selected on the base of some specific conditions. This canonical representative is intended to make easily a distinction between the equivalence classes.

In Section 4 we give the results with some more information about the obtained codes.

### 2 The Construction Method

In this section we describe the theoretical foundations of the used construction method. The aim is to obtain all inequivalent self-dual codes of length n=2k and dimension k on the base of the inequivalent self-dual codes of dimension k-1. Proposition 3 gives us the possibility to develop a classification algorithm. Actually, this proposition presents a well known property of the self-dual codes but usually it is stated in the case  $d \ge 4$  (see for example [11]). Here we give a variant for all d but for that we need the following lemma. We say that the coordinates  $i_1, \ldots, i_s, 1 \le i_1 < \cdots < i_s \le n$ , of the code C are equal if  $x_{i_1} = x_{i_2} = \cdots = x_{i_s}$  for each codeword  $x = (x_1, x_2, \ldots, x_n) \in C$ .

**Lemma 2** If C is a binary self-dual code then C does not have more than two equal coordinates. In other words, if  $x_{i_1} = x_{i_2} = \cdots = x_{i_s}$  for each codeword  $x = (x_1, x_2, \dots, x_n) \in C$  then  $s \leq 2$ .

Proof. If n=2 then  $C=i_2=\{00,11\}$  and C has only two coordinates. Let  $n\geq 4$ . Since the dimension of C is  $n/2\geq 2$ , not all coordinates are equal and s< n. Suppose that  $s\geq 3$ , so  $x_{i_1}=x_{i_2}=x_{i_3}$  for all codewords  $x\in C$ . In such a case the vector  $y=(y_1,\ldots,y_n)\in \mathbb{F}_2^n$  of weight 2 with  $y_{i_1}=y_{i_2}=1$  will belong to  $C^{\perp}=C$ . In this way we obtain a codeword for which  $y_{i_1}=y_{i_2}=1$  but  $y_{i_3}=0$  – a contradiction. Hence  $s\leq 2$ .

Any self-dual [n, n/2, 2] code for n > 2 is decomposable as  $i_2 \oplus C_{n-2}$  where  $C_{n-2}$  is a self-dual code of length n-2. Furthermore, the number of the self-dual [n, n/2, 2] codes is equal to the number of all self-dual [n-2, n/2-1] codes (n > 2). But even if a self-dual code of length n > 2 has minimum distance d = 2, it has two coordinates which are not equal.

Table 1: Binary self-dual codes of length  $n \leq 40$  [12]

$\overline{n}$	$\sharp_I$	$\sharp_{II}$	d r	H -	d m	H	Reference
		₩11	$d_{max,I}$	$\sharp_{max,I}$	$d_{max,II}$	$\sharp_{max,II}$	
2	1		2	1			[20]
4	1		2	1			[20]
6	1		2	1			[20]
8	1	1	2	1	4	1	[20]
10	2		2	2			[20]
12	3		4	1			[20]
14	4		4	1			[20]
16	5	2	4	1	4	2	[20]
18	9		4	2			[20]
20	16		4	7			[20]
22	25		6	1			[22]
24	46	9	6	1	8	1	[22]
26	103		6	1			[7, 8, 21]
28	261		6	3			[7, 8, 21]
30	731		6	13			[7, 8, 21]
32	3210	85	8	3	8	5	[4, 7, 9]
34	24147		6	938			[3]
36	519492		8	41			[11, 19]
38	38682183		8	2744			this paper, [1, 2]
40	?	94343	8	?	8	16470	[2]

**Proposition 3** Let C be a binary self-dual [n = 2k > 2, k, d] code and  $C_0 = \{(x_1, \ldots, x_n) \in C, x_{n-1} = x_n\}$ . If the last two coordinates of C are not equal and  $C_1$  is the punctured code of  $C_0$  on the coordinate set  $\{n-1, n\}$  then  $C_1$  is a self-dual  $[n-2, k-1, d_1 \ge d-2]$  code.

*Proof.* Let n > 2 and the last two coordinates of the code C are not equal. This means that there is a codeword  $y \in C$  such that  $y_{n-1} \neq y_n$ . Therefore  $C_0$  is a subcode of C with dimension k-1, and  $(00...011) \notin C$ . The punctured code of  $C_0$  on the coordinate set  $\{n-1,n\}$  is

$$C_1 = \{(x_1, x_2, \dots, x_{n-2}) \mid x = (x_1, x_2, \dots, x_{n-2}, x_{n-1}, x_n) \in C_0\}.$$

Since  $(00...011) \notin C$ ,  $\dim C_1 = \dim C_0 = k-1$ . Moreover, if  $x = (x_1, x_2, ..., x_{n-2}, a, a)$ ,  $y = (y_1, y_2, ..., y_{n-2}, b, b) \in C_0$  then  $x_1y_1 + x_2y_2 + \cdots + x_{n-2}y_{n-2} + ab + ab = 0$ . Hence  $x_1y_1 + x_2y_2 + \cdots + x_{n-2}y_{n-2} = 0$  for any two codewords  $(x_1, ..., x_{n-2}), (y_1, ..., y_{n-2}) \in C_1$ . It follows that the code  $C_1$  is self-orthogonal, and since its dimension is a half of its length, it is self-dual.

For our construction, we use the code  $C_1$  of dimension k-1 to obtain a self-dual code of dimension k and length 2k. We describe this in the following corollary. Let  $C_1$  be a binary self-dual [2k-2, k-1] code with a generator matrix  $G_1$ . Let us extend  $G_1$  with two equal columns  $(a_1, a_2, \ldots, a_{k-1})^T$  and consider the code  $C_0$  generated by the matrix

$$G_0 = \left( \begin{array}{ccc} a_1 & a_1 \\ \vdots & \vdots \\ a_{k-1} & a_{k-1} \end{array} \right).$$

We can choose the vector  $(a_1, a_2, \ldots, a_{k-1})$  such that  $(11 \ldots 11) \in C_0$ . Obviously,  $C_0$  is a self-orthogonal [n = 2k, k-1] code and its dual code is  $C_0^{\perp} = \langle C_0, (00 \ldots 011), x \rangle$  where  $x \in C_0^{\perp} \setminus \langle C_0, (00 \ldots 011) \rangle$  and  $\operatorname{wt}(x)$  is even. Consider the last two coordinates of the vector x. If  $x_{n-1} = x_n$  then  $(x_1, x_2, \ldots, x_{n-2}) \in C_1^{\perp} = C_1$  and therefore  $x \in \langle C_0, (00 \ldots 011) \rangle$ . Hence  $x_{n-1} \neq x_n$ . The next corollary follows immediately.

**Corollary 4** The code  $C = \langle C_0, x \rangle = C_0 \cup (x + C_0)$  is a binary self-dual [2k, k] code.

We will call the code C a child type code (CTC) of  $C_1$ , and  $C_1$  - a parent type code (PTC) of C. Actually, we have two possible self-dual codes obtained in this way from  $C_1$ , namely  $\langle C_0, x \rangle$  and  $\langle C_0, (00...011) + x \rangle$ , but both codes are equivalent.

**Remark 1** To describe the search tree for our algorithm, we use the terms CTC and PTC. In the literature on algorithms [15, 18] the terms are parent and child, but as these terms are used in a different way in some papers and chapters devoted to self-dual codes [13], we decided to set child type code and parent type code.

In this way all self-dual codes of length n=2k can be constructed on the base only on the inequivalent self-dual codes of length n-2. Indeed, if we take two equivalent self-dual codes  $C_1 \cong C_1'$  and use the same vector  $(a_1, a_2, \ldots, a_{k-1})$ , we can obtain equivalent self-dual codes of length 2k via the described construction. Let  $G_1$  be a generator matrix of  $C_1$  and P be a permutation matrix such that  $G_1P$  generates the code  $C_1'$ . Then the matrix

$$\left(\begin{array}{c|c} xP & x_{n-1} & x_n \\ G_1P & a & a \end{array}\right)$$

generates a self-dual code equivalent to C, namely the code C'. Furthermore, if  $\pi \in S_{n-2}$  is the permutation corresponding to the matrix P then  $\widehat{\pi} \in S_n$  sends C to C' where  $\widehat{\pi}(i) = \pi(i)$  for  $1 \le i \le n-2$ , and  $\widehat{\pi}(i) = i$  for  $i \in \{n-1, n\}$ .

Let see now what happens if we take different vectors a and b of length k-1 and use them in the described construction for the same self-dual [2k-2,k-1] code  $C_1$  with a generator matrix  $G_1$ . Consider the elements of the automorphism group  $\operatorname{Aut}(C_1)$  as permutation matrices of order n-2. To any permutation matrix  $P \in \operatorname{Aut}(C_1)$  we can correspond an invertible matrix  $A_P \in \operatorname{GL}(k-1,2)$  such that  $G'_1 = G_1P = A_PG_1$ , since  $G'_1$  is another generator matrix of  $G_1$ . In this way we obtain a homomorphism  $f: \operatorname{Aut}(C_1) \longrightarrow \operatorname{GL}(k-1,2)$ . Consider the action of  $\operatorname{Im}(f)$  on the set  $\mathbb{F}_2^{k-1}$  defined by  $A(x) = Ax^T$  for every  $x \in \mathbb{F}_2^{k-1}$ .

**Theorem 5** [11] The matrices  $(G_1 \ a^T \ a^T)$  and  $(G_1 \ b^T \ b^T)$  generate equivalent codes if and only if the vectors a and b belong to the same orbit under the action of Im(f) on  $\mathbb{F}_2^{k-1}$ .

*Proof.* Let the matrices  $(G_1 \ a^T \ a^T)$  and  $(G_1 \ b^T \ b^T)$  generate the codes  $C_0$  and  $C'_0$ , respectively, and  $a^T = A_P b^T$ , where  $P \in \text{Aut}(C_1)$ . Then

$$(G_1 \ a^T \ a^T) \left( \begin{array}{cc} P & 0 \\ 0 & I_2 \end{array} \right) = (G_1 P \ a^T \ a^T) = (A_P G_1 \ A_P b^T \ A_P b^T) = A_P (G_1 \ b^T \ b^T).$$

Since  $A_P(G_1 \ b^T \ b^T)$  is another generator matrix of the code  $C'_0$ , both codes are equivalent.

Conversely, let  $C_0 \cong C_0'$ . It turns out that there is a matrix  $B \in GL(k,2)$  and an  $n \times n$  permutation matrix P such that  $(G_1 \ a^T \ a^T) = B(G_1 \ b^T \ b^T)P = BA_P(G_1 \ b^T \ b^T)$ . Hence  $BA_pG_1 = G_1$  and therefore  $BA_P$  defines an automorphism of the code  $C_1 = \langle G_1 \rangle$ . Since  $a^T = BA_Pb^T$ , the vectors a and b belong to the same orbit under the action of Im(f) on  $\mathbb{F}_2^{k-1}$ .

Let now  $G = \begin{pmatrix} x & 1 & 0 \\ G_1 & a^T & a^T \end{pmatrix}$  be a generator matrix of the self-dual [n, n/2, d] code C. If  $P \in \text{Aut}(C_1)$  and y = xP then

$$G\begin{pmatrix} P & 0 \\ 0 & I_2 \end{pmatrix} = \begin{pmatrix} x & 1 & 0 \\ G_1 & a^T & a^T \end{pmatrix} \begin{pmatrix} P & 0 \\ 0 & I_2 \end{pmatrix} = \begin{pmatrix} xP & 1 & 0 \\ G_1P & a^T & a^T \end{pmatrix}$$
$$= \begin{pmatrix} y & 1 & 0 \\ A_PG_1 & A_Pb^T & A_Pb^T \end{pmatrix} = \begin{pmatrix} 1 & 0 \dots 0 \\ 0^T & A_P \end{pmatrix} \begin{pmatrix} y & 1 & 0 \\ G_1 & b^T & b^T \end{pmatrix}$$

Hence the code C is equivalent to the code generated by the matrix  $G' = \begin{pmatrix} y & 1 & 0 \\ G_1 & b^T & b^T \end{pmatrix}$ .

The following proposition reduces the number of the considered cases. It is a particular case of Theorem 1 from [16].

**Proposition 6** If C is a binary self-dual [n = 2k > 2, k, d] code then C is equivalent to a code with a generator matrix in the form

$$G = \begin{pmatrix} x_1 \dots x_{k-1} & 00 \dots 0 & 1 & 0 \\ & & x_1 & x_1 \\ I_{k-1} & A & \vdots & \vdots \\ & & x_{k-1} & x_{k-1} \end{pmatrix}$$
 (2)

and the matrix  $(I_{k-1}|A)$  generates a self-dual [n-2, k-1] code.

*Proof.* If the last two coordinates of C are equal then according to Lemma 2 the first and the last coordinates are not equal and we can transpose them. So we can consider the case when the last two coordinates of C are not equal without loss of generality.

Take a generator matrix in systematic form for the code  $C_1$  obtained from C by the construction in Proposition 3. Then

is a generator matrix of  $C_0$  in systematic form. Since  $C_0$  is a self-orthogonal [2k, k-1] code,  $C_0^{\perp} = \langle C_0, (00\dots 011), y \rangle$  where  $y \in C \setminus C_0$ . Hence  $y_{n-1} \neq y_n$  and suppose  $y_{n-1} = 1, y_n = 0$ . Consider the vector  $x = (x_1, x_2, \dots, x_{k-1}, 00\dots 010)$ . Obviously,  $x \perp C_0$  and hence  $x \in C_0^{\perp}$ . But  $x \notin C_0$ ,  $x \notin (00\dots 011) + C_0$ ,  $x \notin (00\dots 011) + y + C_0$  and therefore  $x \in y + C_0 \subset C$ . It turns out that  $x \in C$  and we can take a generator matrix for C in the needed form.

If we start with a self-dual [2k-2, k-1] code  $C_1$  with a generator matrix in systematic form, for the construction in the last theorem we have to use a vector  $(x_1, x_2, \ldots, x_{k-1})$  of odd weight. Then we are sure that the all-ones vector (11...11) belongs to the subcode  $C_0$  and the code C generated by the matrix (2) is a self-dual [2k, k] code.

Theorem 5 and Proposition 6 are very important for our search. We use them to write a recursive algorithm which gives us all self-dual [n, n/2] codes starting from the code  $i_2$ .

# 3 The Algorithm

In this section we present an algorithm for generating self-dual codes of a given length n. We discuss the background and give preliminary definitions and notations.

Let  $\Omega_k$  be the set of all binary self-dual codes of dimension k (and length 2k). We consider the action of the symmetric group  $S_{2k}$  on the set  $\Omega_k$ ,  $k=1,2,\ldots$ . This action induces an equivalence relation in  $\Omega_k$  as two codes  $C_1, C_2 \in \Omega_k$  are equivalent  $(C_1 \cong C_2)$  if they belong to the same orbit. Hence the equivalence classes for the defined relation are the orbits with respect to the action of the symmetric group. According to Proposition 3, if we take two nonproportional coordinates in any code belonging to  $\Omega_k$ ,  $k \geq 2$ , we can obtain a code from  $\Omega_{k-1}$ . Conversely, if we take all codes from  $\Omega_{k-1}$  and extend them using Corollary 4 in all possible ways, we will obtain all codes from  $\Omega_k$ . The construction of the self-dual codes of dimension k using the codes from  $\Omega_{k-1}$  seems to be trivial, but actually this is a difficult problem and the question is how to find only the inequivalent codes. To do this, we develop a McKey type algorithm for isomorph-free generation [15, 18].

The algorithm is an exhaustive search over the set of codes  $\Omega = \Omega_1 \cup \Omega_2 \cup \cdots \cup \Omega_k$  and this set is our search space. The generation process is described by a rooted tree (or forest). The nodes in the tree are objects from the search space  $\Omega$ . From a self-dual code A of length 2k-2 corresponding to the node  $\overline{A}$ , we obtain self-dual codes of length 2k which are child type codes of A. To construct the child type codes, we use a generator matrix  $G_A$ 

of A in systematic form and the binary vectors from  $\mathbb{F}_2^{k-1}$  of odd weight. We denote the set of inequivalent child type codes by Child(A). The elements of Child(A) correspond to the nodes of the next level which are connected to  $\overline{A}$  by edges. To find only the inequivalent child type codes, we use Theorem 5. Practically, the rule  $A \to Child(A)$  which juxtapose child type codes to a code defines our search tree. The execution of the algorithm can be considered as walking the search tree and visiting all nodes through the edges. This can be done by a depth first search. We present a pseudocode of the algorithm in Table 2.

The following definitions and theorems help us to explain the algorithm and to prove its correctness.

**Definition 1** A canonical representative map for the action of the group  $S_{2k}$  on the set  $\Omega_k$  is a function  $\rho: \Omega_k \to \Omega_k$  that satisfies the following two properties:

- 1. for all  $X \in \Omega_k$  it holds that  $\rho(X) \cong X$ ,
- 2. for all  $X, Y \in \Omega_k$  it holds that  $X \cong Y$  implies  $\rho(X) = \rho(Y)$ .

For a code  $C \in \Omega_k$ , the code  $\rho(C)$  is the canonical form of C with respect to  $\rho$ . Analogously, C is in canonical form if  $\rho(C) = C$ . The code  $\rho(C)$  is the canonical representative of its equivalence class with respect to  $\rho$ .

We can take for a canonical representative of one equivalence class a code which is more convenient for our purposes. Suppose that A is the canonical representative of this class with respect to a canonical representative map  $\rho$ . We can take  $B \cong A$  for a canonical representative for this class if we change the canonical representative map in the following way:  $\rho'(X) = B$  if  $X \cong B$ ,  $\rho'(X) = \rho(X)$  if  $X \not\cong B$ . According to Lemma 2 a self-dual code does not have more than two equal coordinates, hence if  $k \geq 2$  we can take for a canonical representative of any equivalence class a code for which the last two coordinates are not equal.

**Example 1** If we order the codewords in any code lexicographically and then compare the codes according to a lexicographical ordering of the columns, we will have one biggest code in any equivalence class. We can take this code as a canonical representative of its class.

**Definition 2** Let C be a self-dual code of length n and  $\rho(C) \cong C$  be its canonical form. A permutation  $\phi_C \in S_n$  is called a canonical permutation of the code C, if  $\phi_C(C) = \rho(C)$ .

For a fixed canonical representative map  $\rho$ , the canonical permutation of C depends on the automorphism group of the code and the permutations from the coset  $\phi_C \operatorname{Aut}(C)$  can also be canonical permutations since  $\phi_C \sigma(C) = \phi_C(\sigma(C)) = \phi_C(C) = \rho(C)$  for any  $\sigma \in \operatorname{Aut}(C)$ .

We obtain the automorphism group and a canonical form of a given code A using a modification of the algorithm presented in the paper [5]. This algorithm gives the order of the group, a set of generating elements, and a canonical permutation.

The parent test can be defined in the following way. Suppose that A is a self-dual code of dimension k-1 and  $B \in Child(A)$ . This means that B is obtained from A by the construction of Proposition 6. The parent test for B depends on the automorphism group of the code B.

Let  $c_1$  and  $c_2$  be the coordinate positions of the code B for which  $\phi_B(c_1) = 2k$ ,  $\phi_B(c_2) = 2k-1$  where  $\phi_B$  is the canonical permutation of B. The corresponding two columns of B are not equal, because the last two coordinates of the canonical representative are not equal. We call the coordinates  $c_1$  and  $c_2$  special for the code B with respect to the canonical permutation  $\phi_B$ . If there is an automorphism  $\sigma$  of B such that  $\{\sigma(c_1), \sigma(c_2)\} = \{2k-1, 2k\}$  then the code B passes the parent test. In such case we can change the canonical permutation, taking  $\phi_B \sigma^{-1}$  instead of  $\phi_B$ . Then the last two coordinates of B are special with respect to the new canonical permutation. So a code passes the parent test, if there is a canonical permutation  $\phi$  for this code such that the last two coordinates are special with respect to  $\phi$ .

Obviously, the canonical representative B of one equivalence class passes the parent test. If  $B_1 \cong B$  also passes the parent test, then there is a permutation  $\phi: B \to B_1$  such that  $1 \leq \phi(i) \leq n-2$  for  $1 \leq i \leq n-2$  and  $\{\phi(n-1), \phi(n)\} = \{n-1, n\}$ , where n is the length of the codes. This means that the parent type codes of these two codes are equivalent, too. So we have the following lemma.

**Lemma 7** If  $B_1$  and  $B_2$  are two equivalent self-dual [2k, k] codes which pass the parent test, their parent type codes are also equivalent.

**Lemma 8** Let  $A_1$  and  $A_2$  be two equivalent self-dual codes of dimension r. Then for any child type code  $B_1$  of  $A_1$  which passes the parent test, there is a child type code  $B_2$  of  $A_2$ , equivalent to  $B_1$ , such that  $B_2$  also passes the parent test.

Proof. Let  $G_1$  be a generator matrix of  $A_1$  in systematic form and  $B_1$  be the code obtained from  $A_1$  and the vector  $a=(a_1,\ldots,a_r)$  by the construction described in Corollary 4 and Proposition 6. Let  $B_2$  be the code generated by the matrix  $\pi(G_1)$  and the same vector a, where  $\pi \in S_{2r}$  and  $\pi(A_1) = A_2$ . Obviously,  $\pi(G_1)$  generates the code  $\pi(A_1) = A_2$ . Moreover, according to Theorem 5,  $B_2$  is equivalent to all codes obtained by  $A_2$  and the vectors from the orbit with representative a under the action of  $\operatorname{Aut}(A_2)$  on  $\mathbb{F}_2^r$ . Since the codes  $B_1$  and  $B_2$  are equivalent, they have the same canonical representative  $B = \rho(B_1) = \rho(B_2)$ . The code  $B_1$  passes the parent test and therefore there is a canonical permutation  $\phi_1 : B_1 \to B$  such that the last two coordinates of  $B_1$  are special with respect to  $\phi_1$ . We can take for a canonical permutation of  $B_2$  the permutation  $\phi_2 = \phi_1 \widehat{\pi}^{-1}$ , since  $\phi_1 \widehat{\pi}^{-1}(B_2) = \phi_1(B_1) = \rho(B_1) = \rho(B_2)$ , where  $\widehat{\pi} \in S_{2r+2}$ ,  $\widehat{\pi}(i) = \pi(i)$  for  $i \in \{1, 2, \dots, 2r\}$ ,  $\widehat{\pi}(2r+1) = 2r+1$ ,  $\widehat{\pi}(2r+2) = 2r+2$ . Then

$$\{\phi_2(2r+1),\phi_2(2r+2)\}=\{\phi_1(2r+1),\phi_1(2r+2)\}=\{2r+1,2r+2\},$$

hence the last two coordinates of  $B_2$  are special with respect to the canonical permutation  $\phi_2$ . It turns out that the code  $B_2$  also passes the parent test.

**Theorem 9** If the set  $U_s$  consists of all inequivalent binary self-dual [2s, s] codes, then the set  $U_{s+1}$  obtained by the algorithm presented in Table 2 consists of all inequivalent self-dual [2s+2, s+1] codes,  $s \ge 1$ .

Table 2: The main algorithm

```
Procedure Augmentation(A: binary self-dual code);
  If the dimension of A is equal to k then
    begin
       U_k := U_k \cup \{A\};
       PRINT (A, \sharp Aut(A));
  If the dimension of A is less than k then
    begin
       find the set Child(A) of all inequivalent child type codes of A;
                ( using already known Aut(A);)
       For all codes B from the set Child(A) do the following:
         if B passes the parent test then Augmentation(B);
    end:
end;
Procedure Main;
         U_r – nonempty set of binary self-dual [2r, r] codes;
         k - dimension of the output codes (k > r);
Output: U_k – set of [2k, k] binary self-dual codes;
begin
  U_k := \emptyset (the empty set);
  for all codes A from U_r do the following:
  begin
    find the automorphism group of A;
    Augmentation(A);
  end;
end;
```

*Proof.* We must show that the set  $U_{s+1}$  filled out in Procedure Augmentation, consists only of inequivalent codes, and any binary self-dual [2s+2, s+1] code is equivalent to a code in the set  $U_{s+1}$ .

Suppose that the codes  $B_1, B_2 \in U_{s+1}$  are equivalent. Since these two codes have passed the parent test, their parent type codes are also equivalent according to Lemma 7. These parent type codes are self-dual codes from the set  $U_s$  which consists only in inequivalent codes. We have a contradiction here and therefore the codes  $B_1$  and  $B_2$  cannot be equivalent. It follows that  $U_{s+1}$  consists of inequivalent codes.

Take now a binary self-dual code C of dimension s+1 with a canonical representative B. Hence B is equivalent to C and B passes the parent test. Since  $U_s$  consists of all inequivalent self-dual codes of dimension s, the parent type code of B is equivalent to a code  $A \in U_s$ . According to Lemma 8, there is a child type code  $B_A$  of A, equivalent to B, such that  $B_A$  passes the parent test. Since the codes B and  $B_A$  are equivalent, so are the codes C and  $B_A$ . In this way we find a code in  $U_{s+1}$  which is equivalent to C.

Applying the algorithm recursively, we have the following corollary.

Corollary 10 If the set  $U_r$  consists of all inequivalent binary self-dual [2r, r] codes, then the algorithm presented in Table 2 generates all inequivalent self-dual [2k, k] codes, r < k.

We can partition the set  $U_r$  of all inequivalent binary self-dual [2r, r] codes into disjoint subsets  $U_{r1}, U_{r2}, \ldots, U_{rs}$  and apply the algorithm to these subsets independently. Denote by  $U_{ki}$  the set of the inequivalent self-dual [2k, k] codes, obtained from  $U_{ri}$ ,  $i = 1, 2, \ldots, s$ , via the described algorithm. Following the algorithm and the theorems, we have

**Corollary 11** The union  $U_{k1} \cup U_{k2} \cup \cdots \cup U_{ks}$  consists of all inequivalent binary self-dual [2k, k] codes, and  $U_{ki} \cap U_{kj} = \emptyset$  for  $i \neq j$ .

This corollary shows that we can divide the computations into parts that need no mutual communication.

The most difficult part in the algorithm is the calculation of the automorphism group and the canonical permutation of a given code. That's why we try to avoid this part by using invariants. Actually, we can do the parent test without knowing the automorphism group of the code, using only appropriate invariants, which is much faster.

**Definition 3** Let  $N = \{1, 2, ..., n\}$  be the set of the coordinates of the code C. An invariant of the coordinates of C is a function  $f: N \to \mathbb{Z}$  such that if i and j are in the same orbit with respect to Aut(C) then f(i) = f(j).

The code C and the invariant f define a partition  $\pi = \{N_1, N_2, \ldots, N_l\}$  of the coordinate set N, such that  $N_i \cap N_j = \emptyset$  for  $i \neq j$ ,  $N = N_1 \cup N_2 \cup \cdots \cup N_l$ , and two coordinates i, j are in the same subset of  $N \iff f(i) = f(j)$ . So the subsets  $N_i$  are unions of orbits, therefore we call them pseudo-orbits. We can use the fact that if we take two coordinates from two different subsets, for example  $s \in N_i$  and  $t \in N_j$ ,  $N_i \cap N_j = \emptyset$ , they belong to different orbits under the action of  $\operatorname{Aut}(C)$  on the coordinate set N. Moreover, using an invariant f, we can define a new canonical representative and a new canonical permutation of C.

Firstly, we introduce an ordering of the pseudo-orbits of C. We say that  $N_i \prec N_j$  for  $i \neq j$ , if: (1)  $|N_i| < |N_j|$ , or (2)  $|N_i| = |N_j|$  and f(s) < f(t) for  $s \in N_i$ ,  $t \in N_j$ . Then we redefine the canonical representative of one equivalence class in the following way:

- 1. If the smallest pseudo-orbit consists of only one coordinate, we take for a representative a code in the equivalence class for which this coordinate is the last one, and the n-1-th coordinate is from the second smallest pseudo-orbit. The last two coordinates of the code C have been added according the construction method described in the previous section. If none of these two coordinates belongs to the smallest pseudo-orbit, C does not pass the parent test. If one of them belongs to this orbit, we check whether the other one belongs to the second smallest pseudo-orbit. If no, C does not pass the parent test, but if yes, we should find the canonical representative to be sure whether C passes the test.
- 2. If the smallest pseudo-orbit  $N_s$  contains three or more coordinates, we again take for a representative a code in the equivalence class for which the coordinates from the smallest pseudo-orbit are the last coordinates. According to Lemma 2 not all coordinates in  $N_s$  are equal, so we can take two different coordinates in the end.
- 3. The smallest pseudo-orbit  $N_s$  consists of exactly two coordinates. If these two coordinates are different, we can take them for the last two coordinates. But if they are equal, we are looking for the next pseudo-orbit. There is only one self-dual code such that its coordinates can be partitioned in subsets of two elements such that both coordinates in each subset are equal, and this is the code  $i_2^k$ , n = 2k. But the automorphism group of this code is  $\mathbb{Z}_2^k \cdot S_k$  and it acts transitively on the coordinates.

The complexity of the algorithm mainly depends on two of its steps. The first one is "find the set Child(A) of all inequivalent child type codes of A". For this step, we have as input a set L of generating elements of the automorphism group of the code A, and the set D of all binary odd-weight vectors with length dim A. The algorithm splits the set of these vectors into orbits under the action of the group Aut(A). Any orbit defines a child type code of A, as different orbits give inequivalent child type codes. This step is computationally cheap. The complexity is linear with respect to the product  $|L| \cdot |D|$ ,  $|D| = 2^{\dim A - 1}$  (for details see [23]).

In the step "if B passes the parent test", using a given generator matrix of the code B we have to calculate invariants, and in some cases also canonical form and the automorphism group  $\operatorname{Aut}(B)$ . Finding a canonical form and the automorphism group is necessary when the used invariants are not enough to prove whether the code B pass or not the parent test. If the code B passes the parents test, the algorithm needs a set of generators of  $\operatorname{Aut}(B)$  for the next step (finding the child type codes). For this step, we have to generate the set  $M_w$  of all codewords of weight  $\leq w$ . The complexity here is  $O(\sum_{i=1}^{w/2} \binom{\dim B}{i})$ . To determine the invariants, we use the set  $M_d$ , where d is the minimum weight of the code B. We use mostly the invariants  $f_1$  and  $f_2$  defined as follows:

$$f_1: \{1, 2, \dots, n\} \to \{0, 1\}, \quad f_2: \{1, 2, \dots, n\} \to \mathbb{Z},$$

where n is the length of B. Moreover, if  $s = \sum_{v \in M_d} v_i$  then  $f_1(i) = 1$  if and only if s is odd, and  $f_2(i) = s$ ,  $1 \le i \le n$ ,  $v = (v_1, v_2, \dots, v_n) \in \mathbb{F}_2^n$ . To calculate the values of  $f_1$ , the

algorithm needs only  $|M_d|$  operations in the case of bitwise presentation of the codewords. For  $f_2$  the algorithm uses  $O(n|M_d|)$  operations. We use also a vector valued invariant f such that  $f(i) = (f_1(i), f_2(i))$ . If the code is not rejected with the invariants, the algorithm generates the smallest set  $M_w$  of rank dim B. The corresponding to this set binary matrix is the input in the algorithm for a canonical form. For the complexity of this type of algorithms see [5, 17].

The described algorithm is implemented in the program Gen-self-dual-bin of the package Self-dual-bin written in Borland Delphi 6.0.

### 4 The Results

To find all inequivalent self-dual codes of length 38, we begin from the set  $U_{16}$  of all inequivalent self-dual codes of length 32 and dimension 16. We partition  $U_{16}$  into three subsets and run them on three cores using a PC Intel i5 4 core processor. The number of all codes considered in the program (these are the inequivalent child type codes for each code in  $U_{16} \cup U_{17} \cup U_{18}$ ) is 2,338,260,952. For 151,016,675 of them, a canonical form is computed. The number of the obtained inequivalent codes in the set  $U_{19}$  is 38,682,183. The calculations took about four days.

Generator matrices of all inequivalent self-dual codes of length 38 are saved in three files in the form used in [6]. A compressed version of these files is available on the web-page http://www.moi.math.bas.bg/~iliya/. A file with additional information which contains the number of codewords of weights 2, 4, 6, 8, and the order of the automorphism group for each code is also available. This information allows to compute the expressions in the mass formulas.

The possible weight enumerators of the self-dual codes of length 38 are given by the following formula

$$\begin{split} W(y) = & 1 + \alpha y^2 + (13\alpha + \beta)y^4 + (57 + 76\alpha + 7\beta - \gamma - 4\delta)y^6 + (228 + 260\alpha + 17\beta - \gamma + 28\delta)y^8 \\ & + (560\alpha + 7\beta + 6\gamma - 136\delta + 1520)y^{10} + (728\alpha - 43\beta + 6\gamma + 248\delta + 10032)y^{12} \\ & + (364\alpha - 77\beta - 15\gamma + 100\delta + 37620)y^{14} + (85614 - 572\alpha - 11\beta - 15\gamma - 764\delta)y^{16} \\ & + (127072 - 1430\alpha + 99\beta + 20\gamma + 528\delta)y^{18} + \dots + y^{38}, \end{split}$$

where  $\alpha, \beta, \gamma, \delta$  are integers. The numbers of inequivalent codes, the numbers of different weight enumerators and the numbers of different orders of the automorphism groups for each minimum weight d are given in Table 3.

The smallest order  $\sharp \operatorname{Aut}_s$  and the largest order  $\sharp \operatorname{Aut}_l$  among the automorphism groups are listed in Table 4 for each minimum weight d.

We give one more table with some additional results about the automorphisms of the codes. We list in Table 6 the number of self-dual [38, 19, d] codes C such that  $p^k$  divides the order of  $\operatorname{Aut}(C)$  where p is a prime and k is a positive integer.

Table 3: Numbers of inequivalent codes of length 38

d	2	4	6	8
# codes	519492	27463982	10695965	2744
# weight enumerators	3504	7176	88	2
$\sharp$ orders of $\operatorname{Aut}(C)$	799	764	75	18

Table 4: Orders of the automorphism groups

$\overline{d}$	2	4	6	8
$\sharp \mathrm{Aut}_s$	2	4	1	1
$\sharp \mathrm{Aut}_l$	$2^{19} \cdot 19!$	$2^{13} \cdot 3 \cdot 7 \cdot 16!$	1032192	504

For the verification of our results, we use the mass formula (1) and also the following corollary from one lemma of Thompson [24]:

**Theorem 12** [11] Let n and d be even positive integers and let U be a family of inequivalent self-dual codes of length n and minimum weight at most d. Then U is a complete set of representatives for equivalence classes of self-dual codes of length n and minimum weight at most d if and only if

$$\sum_{C \in U} \frac{n!}{|\operatorname{Aut}(C)|} |\{x \in C | \operatorname{wt}(x) = d\}| = \binom{n}{d} \prod_{i=1}^{n/2 - 2} (2^i + 1).$$
 (3)

For the constructed codes we obtain the same values of the left and the right expressions in the formula (3) for n = 38, namely:

- (d=2) 19137697424578816915816164139573797711865999715625;
- (d=4) 2009458229580775776160697234655248759745929970140625;
- (d=6) 75153737786321014028410076576106303614497780883259375;
- (d=8) 1331294783643400819931835642205311664028246404217737500;
  - (all) 27222898185745116523209337325140537285726884375 (formula (1)).

**Remark 2** To calculate the sums in the mass-formulas (1) and (3), we use the package LONGNUM of S. Kapralov for calculations with large integers [14].

### 5 Conclusion

The generation of all inequivalent binary self-dual codes of length  $n \geq 38$ , using only standard computer algebra systems, seems to be infeasible. That is why we use special algorithmic techniques to surmount difficulties and to classify codes even with PC's. In this work we describe the classification of the self-dual codes of length 38.

Denote by  $SD_k$  the number of all inequivalent self-dual codes of dimension k. Obviously,

$$SD_k \ge \frac{\prod_{i=1}^{k-1} (2^i + 1)}{(2k)!}.$$

Consider the sequence  $a_k = SD_k(2k)!/\prod_{i=1}^{k-1}(2^i+1)$ ,  $k=1,2,\ldots$  Looking at the already known classifications of binary self-dual codes, we can calculate the values of  $a_k$  for  $k \leq 19$ . We list the integer part of these values in Table 5. Moreover, if we count the number of the inequivalent self-dual codes with a trivial automorphism group, we see that there are no such codes for length  $n \leq 32$ , but for the larger lengths this number increases very fast. For example, more than a quarter of all codes of length 38, namely 10140257 inequivalent codes, have a trivial automorphism group. So we have the following conjecture.

Conjecture 1 The sequence  $\{a_k, k = 10, 11, 12, ...\}$  is decreasing.

Table 5: Values of  $\lfloor a_k \rfloor$  for  $k \leq 19$ 

k	1	2	3	4	5	6	7	8	9	10
$\lfloor a_k \rfloor$	2	8	48	597	3162	18974	70836	230631	353061	464937
$\overline{k}$	11	12	13	14	15	16	17	18	19	20
$a_k$	327440	194067	57659	13482	2004	273	34	7	2	?

The doubly-even self-dual codes of length 40 have been classified in [2]. A lower bound on the number of all self-dual codes of that length is given by  $\frac{\prod_{i=1}^{19}(2^i+1)}{40!} > 4,585,657,509$ . According to Conjecture 1 and Table 5, we have

Conjecture 2 The number of the inequivalent binary self-dual codes of length 40 are at most  $2 \cdot \frac{\prod_{i=1}^{19} (2^i + 1)}{40!} < 9,171,315,020.$ 

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Table 6: Number of codes C for which  $p^k$  divides the order of their automorphism groups

$p^k \setminus d$	2	4	6	8	$p^k \setminus d$	2	4	6	8
2	519492	27463982	557127	453	$2^{30}$	87	2	-	-
$2^{2}$	478434	27463982	89141	111	$2^{31}$	47	1	-	-
$2^{3}$	467048	17031875	23498	34	$2^{32}$	16	-	-	-
$2^{4}$	397699	16153273	8201	1	$2^{33}$	7	-	-	-
$2^{5}$	375614	9310617	3788	-	$2^{34}$	3	-	-	-
$2^{6}$	303984	8307428	1733	-	$2^{35}$	1	-	-	-
$2^{7}$	274730	4686394	846	-					-
$2^{8}$	212982	3854920	414	-	3	132329	2743510	3916	85
$2^{9}$	183525	2208800	220	-	$3^{2}$	45728	424433	185	7
$2^{10}$	140158	1629411	134	-	$3^{3}$	17286	93437	26	1
$2^{11}$	112968	970908	79	-	$3^{4}$	6463	22451	3	-
$2^{12}$	85784	641657	48	-	$3^{5}$	1763	4315	-	-
$2^{13}$	65569	387900	26	-	$3^{6}$	256	366	-	-
$2^{14}$	48579	237143	14	-	$3^{7}$	51	52	-	-
$2^{15}$	35399	140837	8	-	$3^{8}$	8	5	-	-
$2^{16}$	25470	83500	5	-	5	4209	16112	9	-
$2^{17}$	17908	49360	-	-	$5^{2}$	449	624	-	-
$2^{18}$	12729	29175	-	-	$5^{3}$	52	40	-	-
$2^{19}$	8838	17009	-	-	7	4270	21981	14	5
$2^{20}$	6161	9646	-	-	$7^{2}$	467	771	-	-
$2^{21}$	4162	5612	-	-	$7^{3}$	83	83	-	-
$2^{22}$	2774	3228	-	-	$7^4$	16	13	-	-
$2^{23}$	1812	1754	-	-	$7^{5}$	1	2	-	-
$2^{24}$	1177	996	-	-	11	47	29	-	-
$2^{25}$	790	505	-	-	13	17	7	-	-
$2^{26}$	551	235	-	-	17	4	-	-	-
$2^{27}$	345	91	-	-	19	1	-	2	1
$2^{28}$	226	33	-	-	23	6	4	-	-
$2^{29}$	156	6	-	-	31	2	2	-	-